

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

ERIC LEOPOLD, ET AL.

Serial No.

Filed: Concurrently Herewith

For: RELOADABLE SHEATH FOR  
CATHETER SYSTEM FOR DEPLOYING  
VASOOCCLUSIVE DEVICES

Prior Examiner: David A. Bonderer

Prior Group Art Unit: 3732

Client ID/Matter No. MICRU:68082

Date: March 16, 2004

EXPRESS MAIL # EV 130089945 US

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR §1.97(b)(3) and §1.98(d), enclosed is a copy of the Information Disclosure Statement and Supplemental Information Disclosure Statements, as filed in the prior application, U.S. Serial No. 09/990,759, together with a copy of the PTO-892 cited therein by the Examiner. The references listed were previously submitted or were cited in prior application USSN 09/990,759. It is believed that these references will be helpful during examination of the application, and it is believed that no fee is due in connection with this paper. However, should a fee be required, the Commissioner is

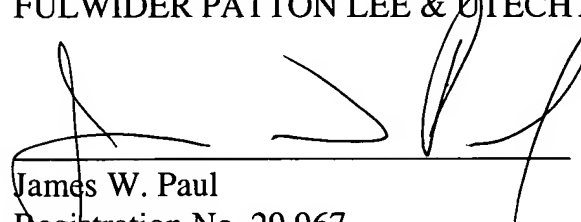
authorized to charge the fee due in connection with this matter to Deposit Account No.  
06-2425.

A duplicate copy of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:



James W. Paul  
Registration No. 29,967

JWP:sn

Enc:

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Los Angeles, CA 90045  
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Facsimile: (310) 824-9696  
Customer No. 24201

45619.1

<b>Notice of References Cited</b>	Application/Control No. 09/990,759	Applicant(s)/Patent Under Reexamination LEOPOLD ET AL.	
	Examiner D. Austin Bonderer	Art Unit 3732	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,293,713	03-1994	Ahmed, Hassen J.	47/55
	B	US-5,299,367	04-1994	Johnson et al.	34/95
	C	US-6,234,542	05-2001	Peterson et al.	285/226
	D	US-6,309,721	10-2001	Gladfelter et al.	428/36.1
	E	US-5,967,194	10-1999	Martin, Lee H.	138/156
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

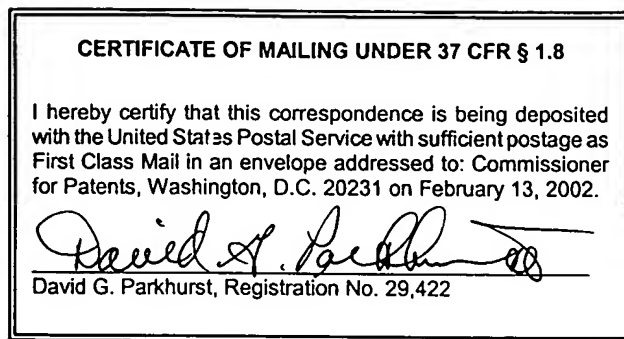
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Eric Leopold, et al.

§ Examiner: Not Assigned

Serial No.: 09/990,759

§

§ Art Unit: 3761

Filed: November 9, 2001

§

§ Docket No.: MICRU-58572

For: RELOADABLE SHEATH FOR CATHETER  
SYSTEM FOR DEPLOYING  
VASOOCCLUSIVE DEVICES

§

§ Date: February 13, 2002

§

§

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**  
**UNDER 37 § 1.97(b)(1)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §1.97(b)(1), Applicants submit an Information Disclosure Statement including form PTOSB/08A and a copy of the references listed therein in order to inform the Examiner of art which may be material to patentability of the invention.

It is believed that no fee is due in connection with this matter. However if a fee is due, please charge our deposit account no. 06-2425. A duplicate of this statement is enclosed.

Respectfully submitted,  
FULWIDER PATTON LEE & UTECHT, LLP



David G. Parkhurst  
Registration No. 29,422

DGP:RCS/mv  
Encl: PTO/SB/08A  
Cited references

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Customer No.: 24201

[228755.1]

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/990,759
				Filing Date	November 9, 2001
				First Named Inventor	E. Leopold
				Art Unit	3761
				Examiner Name	Not Assigned
Sheet	1	of	2	Attorney Docket Number	MICRU-58572

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document No.		Name of Patentee or Applicant of Cited Document	Publication Date MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if Known)			
		1,621,159		Evans	03-15-27	
		4,306,562		Osborne	12-22-81	
		4,430,081		Timmermans	02-07-84	
		5,026,377		Burton et al.	06-25-91	
		5,035,706		Giantureo et al.	07-30-91	
		5,071,407		Termin et al.	12-10-91	
		5,184,627		de Toledo	02-09-93	
		5,222,970		Reeves	06-29-93	
		5,230,348		Ishibe et al.	07-27-93	
		5,275,173		Samson et al.	01-04-94	
		5,324,304		Rasmussen	06-28-94	
		5,372,138		Crowley et al.	12-13-94	
		5,373,856		Grenouillet	12-20-94	
		5,380,304		Parker	01-10-95	
		5,391,172		Williams et al.	02-21-95	

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Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Publication Date MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code	Number	Kind Code (if Known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
		JEFFREY HAWKINS, RONALD G. QUISLING, MD, J. PARKER MICKLE, MD and IRVIN F. HAWKINS, MD - Retrievable Gianturco-Coil Introducer, (Radiology 1986) from the Depts. Of Radiology and Neurosurgery, University of Florida Medical Center and Hawk Prototype Equipment, Gainesville, FL.	

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/990,759
				Filing Date	November 9, 2001
				First Named Inventor	E. Leopold
				Art Unit	3761
				Examiner Name	Not Assigned
Sheet	2	of	2	Attorney Docket Number	MICRU-58572

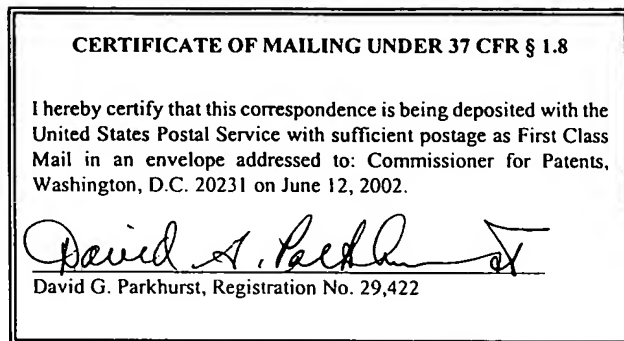
U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document No.		Name of Patentee or Applicant of Cited Document	Publication Date MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if Known)			
		5,400,785		Crowley	03-28-95	
		5,415,178		Hsi et al.	05-16-95	
		5,415,664		Pinchuk	05-16-95	
		5,421,338		Crowley et al.	06-06-95	
		5,480,423		Ravenscroft et al.	01-02-96	
		5,507,769		Marin et al.	04-16-96	
		5,527,336		Rosenbluth et al.	06-18-96	
		5,549,109		Samson et al.	08-27-96	
		5,603,694		Brown et al.	02-18-97	
		5,662,712		Pathak et al.	09-02-97	
		5,700,253		Parker	12-23-97	
		5,735,816		Lieber et al.	04-07-98	
		5,749,921		Lenker et al.	05-12-98	
		5,759,173		Preissman et al.	06-02-98	
		5,807,398		Shaknovich	09-15-98	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Publication Date MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code	Number	Kind Code (if Known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T*

Examiner Signature		Date Considered	
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PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application:	) Examiner: Not Assigned
	)
Eric Leopold, et al.	) Art Unit: 3761
	)
Serial No.: 09/990,759	) Docket No.: MICRU-58572
	)
Filed: November 9, 2001	) Date: June 12, 2002
	)
For: RELOADABLE SHEATH FOR	)
CATHETER SYSTEM FOR	)
DEPLOYING VASOOCCLUSIVE	)
DEVICES	)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure, Form PTO-FB-A820 is enclosed in order to inform the Examiner of art which may be material to patentability of the invention. Copies of the listed references have been enclosed. The Examiner is requested to consider and cite the references in the examination.

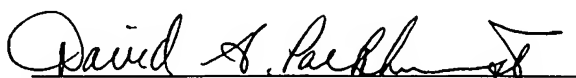


Serial No.: 09/990,759

It is believed that no fee is due in connection with this matter. However if a fee is due, please charge our deposit account no. 06-2425. A duplicate of this statement is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP



David G. Parkhurst  
Registration No. 29,422

DGP:rvw

Encl: Return Postcard

PTO/FB/A820 Transmittal

References (41)

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Facsimile: (310) 824-9696  
Customer No.: 24201

[240833.1]

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

MICRU 58572

SERIAL NO.

09/990,759

## INFORMATION DISCLOSURE CITATION

(Use Several Sheets if Necessary)

APPLICANT Eric Leopold

FILING DATE

November 9, 2001

GROUP

3761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	4,748,982	June 1988	Horzewski, et al.			
	4,775,371	October 1988	Mueller, Jr.			
	5,040,548	August 1991	Yock.			
	5,061,273	October 1991	Yock.			
	5,135,535	August 1992	Kramer			
	5,154,725	October 1992	Leopold			
	5,217,482	June 1993	Keith.			
	5,242,396	September 1993	Evard			
	5,279,562	January 1994	Sirhan et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER

Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

{239632.1}

Form PTO-FB-A820

(also form PTO-1449)

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

MICRU 58572

SERIAL NO.

09/990,759

## INFORMATION DISCLOSURE CITATION

(Use Several Sheets if Necessary)

APPLICANT E. Leopold

FILING DATE

November 9, 2001

GROUP

3761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,300,085	April 1994	Yock..			
	5,346,505	September 1994	Leopold			
	5,350,395	September 1994	Yock.			
	5,387,193	February 1995	Miraki			
	5,389,087	February 1995	Miraki			
	5,451,233	September 1995	Yock.			
	5,456,680	October 1995	Taylor et al.			
	5,458,613	October 1995	Gharibadeh et al.			
	5,489,271	February 1996	Andersen			

## FOREIGN PATENT DOCUMENTS

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[239632.1]

Form PTO-FB-A820

(also form PTO-1449)

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

MICRU 58572

SERIAL NO.

09/990,759

## INFORMATION DISCLOSURE CITATION

(Use Several Sheets if Necessary)

APPLICANT E. Leopold

FILING DATE

November 9, 2001

GROUP

3761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,496,346	March 1996	Horzewski et al.			
	5,501,227	March 1996	Yock.			
	5,516,336	May 1996	McInnes et al.			
	5,531,690	July 1996	Solar			
	5,533,968	July 1996	Muni et al.			
	5,549,556	August 1996	Ndondo-lay et al.			
	5,567,203	October 1996	Euteneuer et al.			
	5,571,094	November 1996	Sirhan			
	5,578,009	November 1996	Kraus et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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[239632.1]

Form PTO-FB-A820

(also form PTO-1449)

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MICRU 58572SERIAL NO.  
09/990,759INFORMATION DISCLOSURE CITATION  
(Use Several Sheets if Necessary)

APPLICANT E. Leopold

FILING DATE

November 9, 2001

GROUP  
3761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
	5,626,600	May 1997	Horzewski et al.			
	5,658,251	August 1997	Ressemann et al.			
	5,702,439	December 1997	Keith et al.			
	5,743,875	April 1998	Sirhan et al.			
	5,749,888	May 1998	Yock.			
	5,755,685	May 1998	Andersen			
	5,769,868	June 1998	Yock.			
	5,782,740	July 1998	Schneiderman			
	5,868,706	February 1999	Cox			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER

Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

[239632.1]

June 11, 2002

Sheet 5 of 5

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

MICRU 58572

SERIAL NO.

09/990,759

## INFORMATION DISCLOSURE CITATION

(Use Several Sheets if Necessary)

APPLICANT E. Leopold

FILING DATE

November 9, 2001

GROUP

3761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
		5,993,460	November 1999	Beitelia et al.			
		6,036,717	March 2000	Kelly et al.			
		6,165,197	December 2000	Yock			
		6,273,899 B1	August 2001	Kramer			
		6,299,595 B1	October 2001	Dutta et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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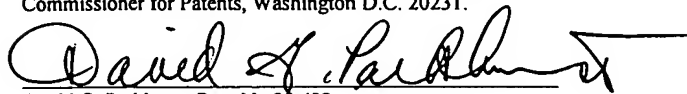
[239632.1]

Form PTO-FB-A820

(also form PTO-1449)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on March 6, 2003 with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington D.C. 20231.

  
David G. Parkhurst, Reg. No. 29,422

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Eric Leopold, et al.

Serial No. 09/990,759

Filed: November 9, 2001

For: RELOADABLE SHEATH FOR  
CATHETER SYSTEM FOR  
DEPLOYING VASOOCCLUSIVE  
DEVICES

Examiner: David A. Bonderer

Group Art Unit: 3732

Client ID/Matter No.: MICRU-58572

Date: March 6, 2003

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. § 1.56 and the procedure set forth in 37 C.F.R. §§ 1.97 and 1.98, the Applicant submits for the Examiner's consideration the attached PTO Form PTO/SB/08A. A copy of the listed reference is also enclosed.

The undersigned hereby certifies that the cited document was first cited in a Search Report for a European application which asserts priority to Serial No. 09/990,759, which Search Report was communicated not more than three months prior to this filing of


this supplemental information disclosure statement. Since the cited document is in French and no translation into English is available, a copy of the Search Report is enclosed as evidence of relevance of the cited document.

The reference cited on the Form PTO/SB/08A may not constitute prior art to the subject matter of the invention claimed in the pending application. The document therefore is disclosed here in an abundance of caution, in light of 37 C.F.R. § 1.56.

The Applicant respectfully requests the Examiner to consider this Information Disclosure Statement.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:   
David G. Parkhurst  
Registration No. 29,422

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Customer No. 24201  
4675.1



Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE  STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/990,759
				Filing Date	November 9, 2001
				First Named Inventor	Eric Leopld, et al.
				Group Art Unit	3732
				Examiner Name	David A. Bonderer
Sheet	1	of	1	Attorney Docket Number	MICRU-58572

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document No.		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if Known)				
		FR	2 631 835 A1		Roudy, Gil	12/01/1989		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T

Examiner Signature		Date Considered	
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